

# $\Gamma$ -convergence for the Cahn-Hilliard Functional.

(1)

Recap on BV-functions.

A function  $u$  belongs to  $BV(\Omega)$  if  $\int_{\Omega} |u| dx < \infty$  and  $\exists$  a <sup>(vector-valued)</sup> measure  $\mu$  with finite total variation such that

$$\int_{\Omega} \operatorname{div} \phi u dx = - \int_{\Omega} \phi \cdot d\mu \quad \forall \phi \in C_c^1(\Omega; \mathbb{R}^N)$$

In other words  $Du = \mu \in \mathcal{M}(\Omega; \mathbb{R}^N)$

Examples of BV functions:

1.  $u \in W^{1,1}(\Omega)$  satisfies the definition with  $\mu = \nabla u \llcorner L^N_{\Omega}$

2. Let  $A$  be a set compactly contained in  $\Omega$  with  $C^2$  bdy.

Then  $\chi_A \in BV(\Omega)$ . To see this, we can apply

the divergence theorem:

$$\int_{\Omega} \operatorname{div} \phi \chi_A dx = \int_A \operatorname{div} \phi dx = - \int \phi \cdot \nu d\mathcal{H}^{N-1} \llcorner \partial A$$

$$= - \int \phi \cdot d\mu$$

where  $\mu = \nu \mathcal{H}^{N-1} \llcorner \partial A$ .

In other words

$$D\chi_A = \nu \mathcal{H}^{N-1} \llcorner \partial A$$

↑  
Inner normal

## Sets of Finite Perimeter

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We say that  $A \subseteq \Omega$  is a set of finite perimeter if

$$\chi_A \in BV(\Omega).$$

Ex.  $\sim C^2$  set from before.

It turns out the above example is typical.

In general, if  $A$  is a set of finite perimeter, we may

define the reduced boundary  $\partial^* A$  by the points

$x_0$  such that  $\exists \nu \in S^{n-1}$  such that

$$\lim_{r \rightarrow 0} \frac{\int_{\partial(B(x_0, r) \cap A)} \nu \cdot \nu}{\int_{\partial(B(x_0, r))} \nu \cdot \nu} = \nu$$

and

$$\lim_{r \rightarrow 0} \int_{B(x_0, r)} \frac{d\chi_A}{d|D\chi_A|} = \nu.$$

If  $x_0 \in \partial^* A$ , then  $\chi_A(x_0 + ry) \xrightarrow{L^1} \chi_{H_\nu}$

Further  $\mathbb{D}\chi_A = \nu \mathcal{H}^{n-1} \llcorner \partial^* A$   $\rightarrow$  Define  $\text{Per}(A)$

We will need some basic properties of BV functions.

Prop. If  $u_i \in BV(\Omega)$  and  $u_i \xrightarrow{L^1} u$ , then

$$|Du|(\Omega) \leq \liminf_{i \rightarrow \infty} |Du_i|(\Omega)$$

Prop. If  $u_i \in BV(\Omega)$  is uniformly bdd, then  $\exists$  a subsequence such that  $u_i \xrightarrow{L^1} u$

Theorem. Fix  $m \in (0,1)$ . Define the energy (3)

$$E_\varepsilon[u] := \begin{cases} \int_\Omega \frac{1}{\varepsilon} W(u) + \varepsilon \|\nabla u\|^2 dx, & u \in H^1(\Omega) \text{ and } fu = m \\ +\infty & \text{else in } L^1(\Omega) \end{cases}$$

Then  $E_\varepsilon \Gamma$ -converges to  $E_0[u] := \begin{cases} C_0 |Du|(\Omega) & \text{if } u \in BV(\Omega; \{0,1\}) \\ & \text{and } fu = m \\ +\infty & \text{else} \end{cases}$   
in  $L^1(\Omega)$   $\uparrow$   
 $C_0 = 2 \int_0^1 \sqrt{W(s)} ds$

where  $W: \mathbb{R} \rightarrow \mathbb{R}_+$  is assumed to satisfy

$$\frac{1}{C} |s| - C \leq W(s) \quad \forall s$$

$$W(s) = 0 \quad \text{iff } s = 0 \text{ or } 1$$

$$W \geq 0 \quad \forall s$$

Theorem. Compactness. If  $u_\varepsilon$  is of bounded energy then up to a subsequence,  $u_\varepsilon \xrightarrow{\Gamma} u \in BV(\Omega; \{0,1\})$ .

Proof. We have  $\sup_{\varepsilon > 0} E_\varepsilon[u_\varepsilon] < C < \infty$ .

Define the function  $f(\frac{t}{\varepsilon}) = \int_0^t \sqrt{\min\{W(s), K\}} ds$

where  $K > \max_{s \in (0,1)} \{W(s)\}$ .

Define  $w_\varepsilon := f \circ u_\varepsilon \in W^{1,1}(\Omega)$ .

We compute  $\nabla w_\varepsilon = f'(u_\varepsilon) \nabla u_\varepsilon$

Consequently,

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$$\begin{aligned}\int_{\Omega} |\nabla w_{\varepsilon}| &= \int_{\Omega} |f'(u_{\varepsilon})| |\nabla u_{\varepsilon}| \, dx \\ &\leq \int_{\Omega} 2 / \min\{W(u_{\varepsilon}), K\} |\nabla u_{\varepsilon}| \, dx \\ &\leq \int_{\Omega} \frac{1}{\varepsilon} W(u_{\varepsilon}) + \varepsilon |\nabla u_{\varepsilon}|^2 \, dx = E_{\varepsilon}[u_{\varepsilon}] \leq C < \infty\end{aligned}$$

Further

$$\begin{aligned}\int_{\Omega} |w_{\varepsilon}| &= \int_{\Omega} |f \circ u_{\varepsilon}| \leq C_K \int_{\Omega} (|u_{\varepsilon}| + 1) \, dx \\ &\leq C \int_{\Omega} W(u_{\varepsilon}) + C \leq C < \infty\end{aligned}$$

Thus,  $w_{\varepsilon}$  is bounded in  $BV(\Omega)$ , and up to a subsequence, we have that  $w_{\varepsilon} \xrightarrow{L^1} w \in BV(\Omega)$

Note that  $f$  is monotone increasing, continuous, and has linear growth at  $|\infty|$ . Consequently,  $f^{-1}$  is monotone increasing, continuous, and has linear growth.

By dominated convergence theorem it follows that

$$u_{\varepsilon} = f^{-1}(w_{\varepsilon}) \xrightarrow{L^1} f^{-1}(w) =: u$$

By Fatou's Lemma

$$\int W(u) \leq \liminf_{\varepsilon \rightarrow 0} \int W(u_{\varepsilon}) \leq C \cdot \varepsilon = 0$$

$$\Rightarrow u = 0 \text{ or } 1 \text{ a.e.}$$

Consequently  $u = \chi_A$  for some  $A \in \Omega$ .

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But this implies  $w = \chi_A f(\chi_A) = f(1)\chi_A \in BV(\Omega)$ .

$\Rightarrow \chi_A \in BV(\Omega)$ , concluding the theorem.

Prop. If  $u_\varepsilon \xrightarrow{L^1} u$  then  $\liminf_{\varepsilon \rightarrow 0} E_\varepsilon[u_\varepsilon] \geq E_0[u]$ .

Proof. ~~the following way we~~

Up to a subsequence, we may assume  $\lim_{\varepsilon \rightarrow 0} E_\varepsilon[u_\varepsilon] = \lim_{\varepsilon \rightarrow 0} \frac{E_\varepsilon[u_\varepsilon]}{\varepsilon} < \infty$ .

Applying compactness, we have that  $u \in BV(\Omega; \{0, 1\})$ . As before

we have  $f(t) := 2 \int_0^t \sqrt{\min\{W(s), k\}} ds$  with  $k = \max_{s \in (0,1)} W(s)$ .

and  $w_\varepsilon := f \circ u_\varepsilon \rightarrow f \circ u = w$ .

$$E_0[u] = f(1) |Du|(\Omega) = |Dw|(\Omega) \leq \liminf \int |\nabla w_\varepsilon| dx$$

$$= \liminf \int |f'(u_\varepsilon)| |\nabla u_\varepsilon| dx$$

$$\leq \liminf \int \sqrt{W(u_\varepsilon)} |\nabla u_\varepsilon| dx$$

$$\leq \liminf \int \frac{1}{\varepsilon} W(u_\varepsilon) + \varepsilon |\nabla u_\varepsilon|^2 dx \leq \liminf E_\varepsilon[u_\varepsilon]$$

$$\leq \liminf E_\varepsilon[u_\varepsilon] \quad \text{as desired.}$$

Prop. Fix  $u \in BV(\Omega; \{0,1\})$  with  $\int_{\Omega} u = m$ . Then  $\exists u_{\epsilon}$  with (6)

$$u_{\epsilon} \xrightarrow{L^1} u \text{ such that } \lim_{\epsilon \rightarrow 0} E_{\epsilon}[u_{\epsilon}] \leq E_0[u].$$

Proof. Step 1 - construction of near optimal transition.

Consider  $E_{\epsilon}$  on the 1-D interval  $(0,1)$ . Suppose we wished to connect the 2-states  $\{0\}$  and  $\{1\}$  by an ~~near~~ <sup>optimal transition</sup> ~~optimal~~.

In principle, the energy would be a local minimizer, and we can compute the first variation.

$$E_{\epsilon}[g_{\epsilon}] = \int \frac{1}{\epsilon} W(g_{\epsilon}) + \underbrace{\epsilon \|g_{\epsilon}\|^2}_{\epsilon |g'_{\epsilon}|^2}$$

First variation implies

$$0 = \frac{1}{\epsilon} W'(g_{\epsilon}) - 2\epsilon g_{\epsilon}'' \quad g_{\epsilon}(0) = 0, \quad g_{\epsilon}(1) = 1$$

Multiply by  $g'_{\epsilon}$  and integrate to find

$$C = \frac{1}{\epsilon} W(g_{\epsilon}) - \epsilon (g'_{\epsilon})^2$$

$$\leadsto \epsilon (g'_{\epsilon})^2 = C + \frac{1}{\epsilon} W(g_{\epsilon})$$

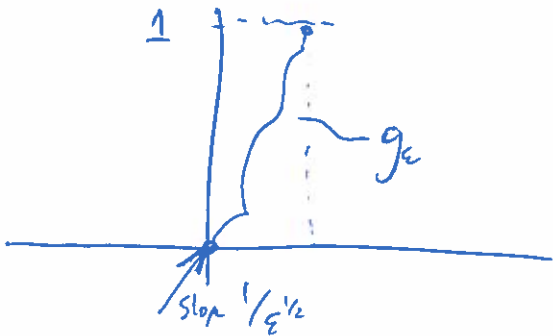
$$\leadsto g'_{\epsilon} = \frac{\sqrt{C\epsilon + W(g_{\epsilon})}}{\epsilon} \quad (\text{take } C \equiv 1)$$

So we are interested in the ODE.

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$$g'_\epsilon = \frac{\sqrt{\epsilon + W(g_\epsilon)}}{\epsilon} \quad g_\epsilon(0) = 0$$

Note  $g'_\epsilon(0) = \frac{1}{\epsilon^{1/2}} \gg 1$  for small  $\epsilon$ .



We solve the ODE explicitly. Define

$$\phi_\epsilon(t) = \int_0^t \frac{\epsilon}{\sqrt{\epsilon + W(s)}} ds.$$

As the integrand is strictly positive, we can invert  $\phi_\epsilon$  on the interval  $[0, \phi_\epsilon(1)]$  to find

$$\frac{d}{dt} \phi_\epsilon^{-1}(t) = \frac{1}{\frac{d}{ds} \phi_\epsilon(\phi_\epsilon^{-1}(t))} = \frac{\sqrt{\epsilon + W(\phi_\epsilon^{-1}(t))}}{\epsilon}$$

Note also  $\phi_\epsilon(1) \leq \int_0^1 \epsilon = \epsilon^{1/2}$ .

We define the "optimal" transition by

$$g_\epsilon(t) = \begin{cases} 0 & \text{if } t \leq 0 \\ \phi_\epsilon^{-1}(t) & \text{if } 0 < t < \phi_\epsilon(1) \\ 1 & \text{if } t > \phi_\epsilon(1) \end{cases}$$

Step 2: Construction of an optimal Recovery sequence for smooth sets. (8)

Assume  $A \subset \Omega$  has  $C^2$  boundary and  $\int_{\partial A \cap \Omega \cap \partial \Omega} \nu \cdot \nu = 0$   
transversal intersection.

Then  $\exists u_\epsilon \rightarrow \chi_A$  such that

$$\lim_{\epsilon \rightarrow 0} E_\epsilon(u_\epsilon) \leq E_0[\chi_A].$$

Define the signed distance function

$$d_A(x) := \begin{cases} \text{dist}(x, A^c) & \text{in } A \\ -\text{dist}(x, A) & \text{in } A^c \end{cases}$$

Then  $|\nabla d_A| = 1$  a.e, and is a Lipschitz function.

Define the function  $g_\delta(t) := \begin{cases} 1 & \text{if } t > 0 \\ 0 & \text{if } t \leq 0 \end{cases}$

We have that  $\chi_A = g_0 \circ d_A$

We would like to approximate  $\chi_A$  by  $g_\epsilon \circ d_A$ , but need to make sure the mass constraint is satisfied.

For this, ~~we draw a picture~~

Note that  $g_\epsilon \leq g_0 \leq g_\epsilon(\cdot + \phi_\epsilon(1))$

$$\text{So } \int_{\Omega} g_\epsilon \circ d_A \leq \int_{\Omega} g_0 \circ d_A = m \leq \int_{\Omega} g_\epsilon(d_A(x) + \phi_\epsilon(1))$$

Thus  $\exists s_\epsilon \in [0, \phi_\epsilon(1)]$  such that  $u_\epsilon := g_\epsilon(d_A(x) + s_\epsilon)$   
has  $\int u_\epsilon = m$ .